

TENTH PLAN (2008-2013)
QUARTERLY PROGRESS REPORT
FISCAL YEAR: 2010-2011 REPORTING PERIOD: Q1

Administrative Unit: Gross National Happiness Commission
 Division/Field Office:

Department:
 Program: Local Governance Support Programme

Program Outcome

Outcome	Indicator	Baseline	Plan Target	Progress		Remarks
				Current Quarter	Cumulative	
Outcome 001 Democratic governance at local levels enhanced and services delivered effectively and efficiently as mechanism to reduce poverty and contribute to achieving the MDGs. -LGs provide efficient poverty reduction services based on local input	Poverty reduced to 15% by 2013(10FYP target)	23.5% (2008)	15%	-	-	
	increased utilization of budget by dzo/gewogs	60.1 % (2008)	90%	-	-	
	perception of the local community how services reflect their expressed needs	Quality of services "Poor" (2007)	Good	-	-	

1. Activity Progress

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		

1. Activity Progress

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		
Output Output 001 Effective and transparent financing mechanism for local governments service delivery developed and in operation - Annual Capital Grant facility operational based on guidelines and minimum conditions	% of LGs (Dzongkhags and Gewogs) receive and report use of capital budgets on time and schedule increased			155.482	0.000	0.000		
	Implementation rate of received grant amounts of Dzongkhags and Gewogs increased					155.482		
	% of relevant LGs functionaries(elected/staff (m/f)) trained on the use of the Annual Grants							
	Performance based block grants developed & piloted in 2 Dzongkhags & lessons Learned documented							
	% of LGs with approved annual plans, budgets and expenditure made available through public boards increased							
Activity Activity 021 Development of effective and transparent financing mechanism for local governments service delivery		Annual Capital Grants released to all Dzongkhags and Gewogs, Improved AGG, Performance based grants system piloted, Conduct one training/sentization on AGG to all Dzo& Gewogs, coordinate exposure trips for DLG,MOF and GNHC	On track	155.482	0.000	0.000	2372 Local Governance Support Programme(MULTI)	

1. Activity Progress

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		
Sub-activity 001 Annual capital block grants released for 205 Gewogs				149.000	0.000	0.000 149.000	2372 Local Governance Support Programme(MULT 11)	MCs being collected from LGs
Sub-activity 002 a)Revision of Annual Grants System/Guidelines(RAF,Minimum Conditions & Results measures etc) b)meetings/ workshops c) TA (Intl & local)				0.232	0.000	0.000 0.232	2372 Local Governance Support Programme(MULT 11)	Revised AGG with MOF for 2010-11. Preparation ongoing for circulation to LGs for 2010-11.
Sub-activity 003 a) Develop Performance Based Annual(Block) Grants for at least 2 dzongkhags and pilot b)meetings/workshops c)TA (Intl & local)				3.250	0.000	0.000 3.250	2372 Local Governance Support Programme(MULT 11)	Developed TOR for TA.
Sub-activity 004 Training/Sensitization on Annual Grants System/Guidelines & Performance Based Annual Grants for LGs			Ongoing	0.700	0.000	0.000 0.700	2372 Local Governance Support Programme(MULT 11)	20 DPOs,12 new GAOs(29-m/3-f) sensitized/trained in Aug on AG System & revised AGG
Sub-activity 005 Exchanged visits/exposure to Denmark/Tanzania(MOF,DLG,GN HC,LGs) -Denmark-one of the most decentralised countries in Europe(on grants,multisectoral §or grants,equalization systems, LG performance,inter-govt fiscal transfers etc)-Tanzania-good lessons on Performance based block grants,discretionary capital grants, reforms, allocation formulas, grant manuals/guidelines etc				2.300	0.000	0.000 2.300	2372 Local Governance Support Programme(MULT 11)	TOR developed and preparation ongoing

1. Activity Progress (Continued)

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		
Sub-activity 006 Review and analysis of existing local revenue sources including rural tax					0.000	0.000		DRC made aware of the LGSP support. Received proposals from DRC.
Output Output 002 Inclusive, efficient and accountable public expenditure management(PEM) and planning procedures/tools for local governments in place and well functioning	No of functional planning/budgeting & expenditure mgt tools for LGs developed & operational for LGs			2.800	0.230	0.230		
	RBM(planning tool) incorporated as curriculum in ICBP					2.570		
	% of LGs(Dzongkhags/Gewogs) using community contract, common inst. designs & cost templates, planning manual, integrated financial management system							
	% of Dzongkhags/Gewogs appropriately using PlaMS/PEMS format for physical and financial reporting increased							
Activity Activity 022 Development of inclusive, efficient and accountable public expenditure management procedures and planning procedures and tools		PlaMS integrated with MYRB/PEMS, Design and Cost Templates revised/improved , piloted annual best practice awards, developed good governace self-assessment tests for GTs		2.800	0.230	0.230	2372 Local Governance Support Programme(MULTI1)	
						2.570		

1. Activity Progress (Continued)

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		
Sub-activity 001 a) Improvement/fine tuning of PLAMS and strengthening integration b)meetings/workshops c) TA (Intl & local)			Good	0.500	0.230	0.230	2372 Local Governance Support Programme(MULT 11)	The strengthening and fine tuning of PLAMS ongoing. Payment completed for TA. Integration dialogue with DNB/DPA completed. Will be put to the Govt for endorsement of integration.
Sub-activity 002 a) Revision of templates b)meetings & workshops c)TA (Intl & local)				1.200	0.000	0.000	2372 Local Governance Support Programme(MULT 11)	Templates printed & distributed to Dzongkhags for use and feedback. Testing will take place in Sept-Oct.
Sub-activity 003 a)Revision of contract protocol b)meetings/workshops c)TA (Intl & local)				0.200	0.000	0.000	2372 Local Governance Support Programme(MULT 11)	Piloted in Phobjikha,Wangdue & was successfully implemented.. Held workshop with stakeholders to understand experiences & issues arising from piloting. Dzongkhags provided good feedback with recommendations. Accordingly, improving the protocol for finalization & distribution.
Sub-activity 004 a)Develop & introduce (pilot): (i) Institutionalize Annual Best Practice Award/Good Governance self-assessment tests for GTs demonstrating outstanding approach to local governance; (ii) Awarding individual incentives to a certain, highly performing, individuals for recognition(e.g Best Gup of the Year) b) workshops c) TA(intl & local)				0.900	0.000	0.000	2372 Local Governance Support Programme(MULT 11)	Developing TORs

1. Activity Progress (Continued)

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		
Output Output 003 Effective national support / training mechanism for LGs personnel & elected members in place - LG functionaries use increased knowledge & skills for planning & PEM - Cadre of LGs personnel(m/f) skilled as trainers provide ongoing training to LGs	% of relevant LG functionaries(elected & staff) trained to apply new planning methods including RBM for planning and PEM			29.862	3.043	3.043		
	No of relevant LG functionaries(staff) trained as skilled TOTs(M/F) by Dzonkhags/Gewogs for providing ongoing training/coaching to LGs					26.819		
	No of Gewogs supplied with functional equipments(GAOs and Gewog accountants)							
	% of Gewog Administrative Officers (GAO) and Gewog Accountants (GA) trained on NMES manual,PlaMS/PEMS increased							
	% of LG functionaries(staff&elected) having participated in minimum package training as defined by LGSP increased							

1. Activity Progress (Continued)

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		
Activity Activity 023 Development of effective national support/training mechanism for local government personnel(elected and staff(m/f)		One round of trg conducted on general administrative/office mgt,LDPM(emphasis on gender), NMES/PLaMS to relevant Dzongkhags/Gewogs functionaries(elected/staff), conduct one round refresher TOTs on PLaMS,		29.862	3.043	3.043 26.819	2372 Local Governance Support Programme(MULT 11)	
Sub-activity 001 a) Trgs/ TOTs/workshops(National/Dzongkhag/Gewog (In-country)-elected functionaries&staff -planning & PEM mgt-ICBP ,RBM,LDPM,NMES, PLaMS,MYRB,PEMS, mainstreaming cross cutting issues,gender etc. -effective meetings, office mgt(dzo unicode and comp course), conflict resolution and leadership, etc b)TOTs on ICBP for LG functionaries(elected/staff)			The expenditure rej	14.000	2.930	2.930 11.070	2372 Local Governance Support Programme(MULT 11)	Prepn/planning ongoing for all GT trgs on planning & prioritization for 2010-11 by TOTs(i.e GAOs) for the 2nd time. Prepn/planning ongoing for trg related to ICBP, PEMS,Leadership,office mgt,correspondences,dzo unicode..
Sub-activity 002 Training/workshops-National/Dzongkhag/Gewog Level -elected functionaries & staff(ex-country(HR)) -strategic planning & RBM, public expenditure mgt etc				4.200	0.000	0.000 4.200	2372 Local Governance Support Programme(MULT 11)	Prepn/planning ongoing for exposure trips for 20 DT Chairpersons/Dy.Chairpersons on decentralization

1. Activity Progress (Continued)

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		
Sub-activity 003 a) Develop and introduce regular peer learning(CB tools) events,such as inter-Gewog visits,Dzongkhag level workshops & meetings for gewog functionaries(elected/staff) to discuss issues &like-opportunity for 'practitioners' to exchange experiences & learn from eachother b)meetings/workshops c)TA(intl&local)				0.930	0.000	0.000 0.930	2372 Local Governance Support Programme(MULT 11)	Developing TOR, Prepn/Planning ongoing
Sub-activity 004 a) Develop public awareness materials on general messages about the decentralization reform b)meetings/workshops c))TA(intl&local)				0.500	0.000	0.000 0.500	2372 Local Governance Support Programme(MULT 11)	Prepn/planning ongoing such as TORs, tendering.
Sub-activity 006 a) Develop formalised and clear "helpline" processes, such that Gewog level officials are provided with specific "points of contact" at the Dzongkhag level to which they can turn for advice & guidance on particular issues (e.g. procurement, financial management, etc.)-designating particular & appropriate ministry & Dzongkhag-level staff & ensuring that Gewog officials knew to whom they can turn for advice, meetings/workshops c) TA(Intl&local)				0.232	0.000	0.000 0.232	2372 Local Governance Support Programme(MULT 11)	Prepn/planning ongoing,developing TOR

1. Activity Progress (Continued)

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		
Sub-activity 007 a) Develop overarching/comprehensive CB strategy for LGs b) Develop longer term "demand driven" training-develop objectives&mechanism to provide & pilot earmarked CD Grant to LGs c)meetings/workshops d) TA(intl&local)				2.800	0.000	0.000 2.800	2372 Local Governance Support Programme(MULT 11)	Conducted stakeholders workshop. Draft in its final stage. Circulated to all for comments.
Sub-activity 008 a) Develop criteria and use of Climate Change Adaptation Grant and pilot in two districts b)meetings/workshops c) TA(intl &local)				7.000	0.000	0.000 7.000	2372 Local Governance Support Programme(MULT 11)	Mission completed. Circulated report for comments.
Sub-activity 009 Supply of equipments to LGs (for PLaMS,PEMs,MYRB)			Ongoing	0.200	0.113	0.113 0.087	2372 Local Governance Support Programme(MULT 11)	Ongoing
Output Output 004 Central government's policy, regulatory, support and supervision functions strengthened - Increased awareness and understanding among relevant Govt stakeholders of expected support and supervision from agencies to LGs	No of types of sessions conducted No of people exposed by agencies			0.900	0.000	0.000 0.900		
Activity Activity 024 Strengthening of Central Government's policy, regulatory, support and supervision functions				0.900	0.000	0.000 0.900	2372 Local Governance Support Programme(MULT 11)	

1. Activity Progress (Continued)

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
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Sub-activity 001 a) Support to relevant short courses and attendance to relevant international and regional w/shops & seminars-policy development in areas of fiscal decentralization,functional assignments,LG functions etc(in-country and ex-country) b)meetings/workshops/training c) TA(intl&local)				0.900	0.000	0.000	2372 Local Governance Support Programme(MULT 11)	Planning ongoing
Output Output 005 Effective models for integrated public service and information delivery at local level -Tested and documented model of integrated single access points for service delivery ready for replication if appropriate	No of lessons documented			3.465	0.067	0.067		
	No of models(integrated public service centers) tested and established and in operation					3.398		
	No of services availed online							
	Progress towards development and endorsement of service standards for LGs							
Activity Activity 025 Development of effective models for integrated public service and information delivery at local level and piloting				1.400	0.000	0.000	2372 Local Governance Support Programme(MULT 11)	

1. Activity Progress (Continued)

Activity	Indicator	Annual Target	Actual	Budget (Million Nu)	Expenditure (Million Nu)		FIC/Funding Agency	Remarks
					Current Quarter	Cumulative & Balance		
Sub-activity 001 a) Leaning of procedures involved in availing services, b) Study tour to Vietnam to see OSS models c) Development of online systems for at least five most commonly availed services d) Pilot OSS in three Dzongkhags (Haa, Trongsa, Sarpang, and Mongar) and Thimphu City Corpration e) Evaluation of pilot OSSs f) workshops/meetings g) TA(intl and local)				1.400	0.000	0.000	2372 Local Governance Support Programme(MULT 11)	OSS project finalized& signed. Exposure trip planned to see best practices and lessons
Activity Activity 026 Misc/ M&E and regular field visits				2.065	0.067	0.067	2372 Local Governance Support Programme(MULT 11)	
Sub-activity 003 Support regular field visits for project staff to participate in-joint missions, evaluations, work plan meetings, monitoring & evaluation including advocacy initiatives				0.465	0.000	0.000	2372 Local Governance Support Programme(MULT 11)	2nd Joint Technical Review completed. Report finalized and circulated to all stakeholders for future actions
Sub-activity 004 Monitoring & Evaluation			Ongoing	1.100	0.013	0.013	2372 Local Governance Support Programme(MULT 11)	
Sub-activity 005 Mid term evaluation mission					0.000	0.000		
Sub-activity 006 Contractual services of Assistant Project Manager (18 months)			ongoing	0.500	0.054	0.054	2372 Local Governance Support Programme(MULT 11)	
Program Total of the Fiscal Year				192.509	3.340	3.340		
						189.169		

2. Summary of Issues & Recommendations

Key Achievements: (Highlight the main achievements in the current Quarter)	
Constraints & Issues: (List the constraints/problems & issues faced)	
Recommendations: (Suggest your recommendations/remedial actions to)	
Additional comments	

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